

Industry Filings

The Industry Filings search tool provides access to information about, and submissions by, trading and clearing organizations such as designated contract markets (DCMs), swap execution facilities (SEFs), derivatives clearing organizations (DCOs), swap data repositories (SDRs), and non-U.S. organizations (with respect to offering products to U.S. persons), including:

- lists of DCMs, SEFs, DCOs, and SDRs (registered entities);
- applications to become registered entities;
- certifications filed by registered entities concerning products and rules;
- requests filed by registered entities for approval of products and rules;
- applications for exemptions from CFTC Part 30 by foreign organizations; and
- requests for action, and actions taken, by the Commission concerning registered entities.

Information about submitting to the CFTC can be found on our [Forms and Submissions](#) page.

For instructions on how to file public comments on a pending industry filing, go to the [How to Submit a Comment](#) page. If you need any assistance for industry filings, please email us at questions@cftc.gov.

Each link below takes you to a page where you can search and filter by relevant criteria for that section.

Organizations

- [Derivatives Clearing Organizations](#)
 - [Designated Contract Markets](#)
 - [Foreign Boards of Trade](#)
 - [Swap Data Repositories](#)
 - [Swap Execution Facilities](#)
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Rules & Rule Amendments

- [Derivatives Clearing Organizations](#)
 - [Product Terms and Conditions](#)
 - [Swap Data Repositories](#)
 - [Swaps Made Available to Trade Determinations](#)
 - [Trading Organization Rules](#)
 - [Designated Contract Markets Archive](#)
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Products

- [Swaps Subject to Clearing Requirement](#) (PDF)
 - [DCO 39.5\(b\) Swaps Submissions](#)
 - [Foreign Organization Products](#)
 - [Swaps Made Available to Trade](#)
 - [Trading Organization Products](#)
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Actions

- [Commission Orders and Other Actions](#)

- [Foreign Boards of Trade Receiving Staff No-Action Letters](#)
- [Foreign Part 30 Exemptions](#)