Revised Surveillance Case Definitions for HIV Infection Among Adults, Adolescents, and Children Aged ≤18 Months and for HIV Infection and AIDS Among Children Aged 18 Months to <13 Years --- United States, 2008

Prepared by
Eileen Schneider, MD
Suzanne Whitmore, DrPH
M. Kathleen Glynn, DVM
Kenneth Dominguez, MD
Andrew Mitsch, MPH
Matthew T. McKenna, MD
Division of HIV/AIDS Prevention, National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention

Summary

For adults and adolescents (i.e., persons aged ≥13 years), the human immunodeficiency virus (HIV) infection classification system and the surveillance case definitions for HIV infection and acquired immunodeficiency syndrome (AIDS) have been revised and combined into a single case definition for HIV infection (1--3). In addition, the HIV infection case definition for children aged <13 years and the AIDS case definition for children aged 18 months to <13 years have been revised (1,3,4). No
changes have been made to the HIV infection classification system (4), the 24 AIDS-defining conditions (1,4) for children aged <13 years, or the AIDS case definition for children aged <18 months. These case definitions are intended for public health surveillance only and not as a guide for clinical diagnosis. Public health surveillance data are used primarily for monitoring the HIV epidemic and for planning on a population level, not for making clinical decisions for individual patients. CDC and the Council of State and Territorial Epidemiologists recommend that all states and territories conduct case surveillance of HIV infection and AIDS using the 2008 surveillance case definitions, effective immediately.

Introduction

Since the beginning of the human immunodeficiency virus (HIV) epidemic, case definitions for HIV infection and acquired immunodeficiency syndrome (AIDS) have undergone several revisions to respond to diagnostic and therapeutic advances and to improve standardization and comparability of surveillance data regarding persons at all stages of HIV disease. HIV testing is now widely available, and diagnostic testing has continued to improve; these changes are reflected in the 2008 revised case definition for HIV infection, which now requires laboratory-confirmed evidence of HIV infection to meet the case definition among adults, adolescents, and children aged 18 months to <13 years.

Methods

CDC collaborated with the Council of State and Territorial Epidemiologists (CSTE) to develop the revisions in this report. CDC obtained additional input through consultations regarding the pediatric case definitions (April 2005) and adult and adolescent case definition (August 2005 and June 2006) and through peer review by health-care professionals, in compliance with the Office of Management and Budget requirements for the dissemination of influential scientific information.

Adults and Adolescents

For adults and adolescents (aged ≥13 years), the case definitions for HIV infection and AIDS have been revised into a single case definition for HIV infection that includes AIDS and incorporates the HIV infection classification system. Laboratory-confirmed evidence of HIV infection is now required to meet the surveillance case definition for HIV infection, including stage 3 HIV infection (AIDS). Diagnostic confirmation of an AIDS-defining condition alone (Appendix A), without laboratory-confirmed evidence
of HIV infection, is no longer sufficient to classify an adult or adolescent as HIV infected for surveillance purposes. The 2007 World Health Organization (WHO) revised surveillance case definition for HIV infection also requires laboratory confirmation of HIV infection (Appendix B).

Historically, the case definition for AIDS included adults and adolescents without laboratory-confirmed evidence of HIV infection if other clinical criteria were met. In 1993, the existing case definition for AIDS (1) was expanded to include 1) all HIV-infected persons with a CD4+ T-lymphocyte count of <200 cells/µL or a CD4+ T-lymphocyte percentage of total lymphocytes of <14 and 2) three additional clinical conditions (pulmonary tuberculosis, recurrent pneumonia, and invasive cervical cancer), in addition to retaining the 23 clinical conditions in the previous AIDS case definition (2). Despite these changes, the case definition for AIDS continued to include a subset of adults and adolescents without laboratory-confirmed evidence of HIV infection whose illness still met the surveillance case definition for AIDS. Illness in a person who did not have any other known cause of immunodeficiency met the surveillance case definition for AIDS if the illness met any of the following three criteria: 1) no laboratory testing performed or inconclusive laboratory evidence of HIV infection but a definitive diagnosis of a condition included in a subset of AIDS-defining conditions, 2) negative laboratory results for HIV infection but a definitive diagnosis of *Pneumocystis jirovecii* pneumonia, or 3) negative laboratory results for HIV infection but a definitive diagnosis of a condition included in a subset of AIDS-defining conditions and a CD4+ T-lymphocyte count of <400 cells/µL. Because of improvements in diagnostic capabilities and treatment, including increased use of new HIV-testing technologies, CDC collaborated with CSTE to recommend in 2005 an interim change in the AIDS case definition, which required laboratory confirmation of HIV infection. This recommended change required laboratory-confirmed evidence of HIV infection in addition to a CD4+ T-lymphocyte count of <200 cells/µL, a CD4+ T-lymphocyte percentage of total lymphocytes of <14, or diagnosis of an AIDS-defining condition (5). This CDC/CSTE interim recommendation has been incorporated into the 2008 HIV infection case definition, which includes AIDS (stage 3).

In 1993, the revised classification system for HIV infection and the expanded AIDS surveillance case definition for adults and adolescents were based on three clinical categories (i.e., A, B, and C) and three ranges of CD4+ T-lymphocyte counts (i.e., ≥500 cells/µL, 200–499 cells/µL, and <200 cells/µL) or the concordant CD4+ T-lymphocyte percentages (2). Clinical category A comprised asymptomatic acute or primary HIV infection or persistent generalized lymphadenopathy. Clinical category B
comprised symptomatic conditions in an HIV-infected adult or adolescent that were not included in clinical categories A or C but were attributed to a cell-mediated immunity defect or for which the clinical course or management was complicated by HIV infection. Clinical category C comprised the 26 AIDS-defining conditions. In the context of treatment and diagnostic improvements since 1993, clinical categories A and B pose particular difficulties because they include many conditions that are not discrete diseases, are not necessarily indicators of immunodeficiency, poorly match current treatment guidelines, and are not integrated into routine surveillance practices. The classification system of the 2008 case definition for HIV infection, which includes AIDS, has been simplified, with less emphasis on clinical conditions by elimination of clinical categories A and B while retaining the 26 AIDS-defining conditions in clinical category C (1,2).

The role of CD4+ T-lymphocyte counts and percentages also has been clarified. The 2008 case definition highlights the central role of the CD4+ T-lymphocyte counts and percentages, which are objective measures of immunosuppression that are routinely used in the care of HIV-infected persons and are available to surveillance programs. The three CD4+ T-lymphocyte count categories have been renamed for HIV infection, increasing in severity from stage 1 through stage 3 (AIDS); an unknown stage also is included. For surveillance purposes, HIV disease progression is classified from less to more severe; once cases are classified into a surveillance severity stage, they cannot be reclassified into a less severe stage.

Children

Aged <18 Months

The 1999 surveillance guidelines recommended four categories of HIV infection for children aged <18 months: definitively HIV infected, presumptively HIV infected, definitively uninfected with HIV, and presumptively uninfected with HIV (3). Because of improved accuracy and the widespread availability of viral detection and antibody tests to diagnose HIV infection, changes have been made in the surveillance case definition of presumptively uninfected with HIV for children aged <18 months at the time of diagnosis (1,3,4). Thus, compared with infants categorized using the previous surveillance case definition, fewer HIV-exposed infants who have a very low probability of infection will be categorized as having indeterminate infections (3). No major revisions have been made to the remaining three categories for children aged <18 months, and no changes have been made to the AIDS surveillance case definition for children in this age group (1,3,4). Because of the greater uncertainty associated...
with diagnostic testing for HIV in this population (i.e., because maternal antibodies from the HIV-infected mother might exist in the infant after birth, possibly affecting HIV diagnostic testing of the infant that occurs soon after birth), children in this age group whose illness meets clinical criteria for the AIDS case definition but does not meet laboratory criteria for definitive or presumptive HIV infection are still categorized as HIV infected when the mother has laboratory-confirmed HIV infection.

**Aged 18 Months to <13 Years**

For children aged 18 months to <13 years, laboratory-confirmed evidence of HIV infection is now required to meet the surveillance case definition for HIV infection and AIDS. Diagnostic confirmation of an AIDS-defining condition alone, without laboratory-confirmed evidence of HIV infection, is no longer sufficient to classify a child as HIV infected for surveillance purposes (1,3,4). No changes have been made to the 24 AIDS-defining conditions (1,4) or the HIV infection classification system for children aged <13 years (4).

**2008 Surveillance Case Definition for HIV Infection Among Adults and Adolescents**

The 2008 HIV infection case definition for adults and adolescents (aged ≥13 years) replaces the HIV infection and AIDS case definitions and the HIV infection classification system (1--3,5). The case definition is intended for public health surveillance only and not as a guide for clinical diagnosis. The definition applies to all HIV variants (e.g., HIV-1 or HIV-2) and excludes confirmation of HIV infection through diagnosis of AIDS-defining conditions alone. For surveillance purposes, a reportable case of HIV infection among adults and adolescents aged ≥13 years is categorized by increasing severity as stage 1, stage 2, or stage 3 (AIDS) or as stage unknown (Table).

**Criteria for HIV Infection**

**Laboratory Criteria**

- Positive result from an HIV antibody screening test (e.g., reactive enzyme immunoassay [EIA]*) confirmed by a positive result from a supplemental HIV antibody test (e.g., Western blot or indirect immunofluorescence assay test).
Positive result or report of a detectable quantity (i.e., within the established limits of the laboratory test) from any of the following HIV virologic (i.e., non-antibody) tests†:
-- HIV nucleic acid (DNA or RNA) detection test (e.g., polymerase chain reaction [PCR])
-- HIV p24 antigen test, including neutralization assay
-- HIV isolation (viral culture)

Other Criterion (for Cases that Do Not Meet Laboratory Criteria)

• HIV infection diagnosed by a physician or qualified medical-care provider§ based on the laboratory criteria and documented in a medical record.¶ Oral reports of prior laboratory test results are not acceptable.

Case Classification

A confirmed case meets the laboratory criteria for diagnosis of HIV infection and one of the four HIV infection stages (stage 1, stage 2, stage 3, or stage unknown) (Table). Although cases with no information on CD4+ T-lymphocyte count or percentage and no information on AIDS-defining conditions can be classified as stage unknown, every effort should be made to report CD4+ T-lymphocyte counts or percentages and the presence of AIDS-defining conditions at the time of diagnosis. Additional CD4+ T-lymphocyte counts or percentages and any identified AIDS-defining conditions can be reported as recommended (6).

HIV Infection, Stage 1

• No AIDS-defining condition and either CD4+ T-lymphocyte count of ≥500 cells/µL or CD4+ T-lymphocyte percentage of total lymphocytes of ≥29.

HIV Infection, Stage 2

• No AIDS-defining condition and either CD4+ T-lymphocyte count of 200--499 cells/µL or CD4+ T-lymphocyte percentage of total lymphocytes of 14--28.

HIV Infection, Stage 3 (AIDS)

• CD4+ T-lymphocyte count of <200 cells/µL or CD4+ T-lymphocyte percentage of total lymphocytes of <14 or documentation of an AIDS-defining condition
(Appendix A). Documentation of an AIDS-defining condition supersedes a CD4+ T-lymphocyte count of ≥200 cells/µL and a CD4+ T-lymphocyte percentage of total lymphocytes of ≥14. Definitive diagnostic methods for these conditions are available in Appendix C of the 1993 revised HIV classification system and the expanded AIDS case definition (2) and from the National Notifiable Diseases Surveillance System (available at http://www.cdc.gov/epo/dphsi/casedef/case_definitions.htm).

HIV Infection, Stage Unknown

- No information available on CD4+ T-lymphocyte count or percentage and no information available on AIDS-defining conditions. (Every effort should be made to report CD4+ T-lymphocyte counts or percentages and the presence of AIDS-defining conditions at the time of diagnosis.)

Discussion

To meet the surveillance case definition for HIV infection among adults and adolescents, laboratory-confirmed evidence of HIV infection is required. The lowest CD4+ T-lymphocyte count (or concordant CD4+ T-lymphocyte percentage of total lymphocytes) or the presence of AIDS-defining conditions is used to determine the stage of infection. If the CD4+ T-lymphocyte count and the CD4+ T-lymphocyte percentage are both available but do not correspond to the same severity stage, select the more severe stage. For surveillance purposes, disease progression is from less to more severe; once cases are classified in a more severe surveillance stage, they cannot be reclassified into a less severe surveillance stage.

A diagnosis of acute HIV infection indicates documented evidence of detectable HIV RNA or DNA or of p24 antigen in plasma or serum in the presence of a documented negative or indeterminate result from an HIV antibody test. These laboratory tests should be conducted on the same specimen or on specimens obtained on the same day. Acute HIV infection occurs approximately during the time from viral acquisition until seroconversion (i.e., the development of measurable levels of HIV-specific antibodies). During this period, early immune responses to the virus produce distinctive characteristics; 40% to 80% of patients develop clinical symptoms of a nonspecific viral illness (e.g., fever, fatigue, or rash) typically lasting 1--2 weeks (7--12). Acute HIV infection often is not detected because the date of HIV acquisition is unknown, no specific clinical signs are present, no single laboratory marker is present, and the diagnostic window is small. High viral loads typically are associated with acute HIV

http://www.cdc.gov/mmwr/preview/mmwrhtml/rr5710a1.htm?s_cid=rr5710a1_e
infection, potentially increasing the risk for transmission. CD4+ T-lymphocyte counts have decreased in certain patients with acute HIV infection, especially during the months immediately following viral acquisition (7,11,12). However, the viral load and CD4+ T-lymphocyte count usually stabilize once equilibrium is reached between HIV and the immune response (i.e., the viral set point). The changing CD4+ T-lymphocyte counts associated with acute HIV infection might have implications when using these counts to stage HIV infection for surveillance purposes; for example, persons might experience a particularly low, but temporary, CD4+ T-lymphocyte count and be categorized as having a more severe stage of HIV infection than they actually have after reaching the viral set point.

2008 Surveillance Case Definition for HIV Infection Among Children Aged <18 Months

The 2008 case definition of HIV infection among children aged <18 months replaces the definition published in 1999 (3) and applies to all variants of HIV (e.g., HIV-1 or HIV-2). The 2008 definition is intended for public health surveillance only and not as a guide for clinical diagnosis.

The 2008 definition takes into account new available testing technologies. Laboratory criteria for children aged <18 months at the time of diagnosis include revisions to one category: presumptively uninfected with HIV. No substantial changes have been made to the remaining three categories (definitively HIV infected, presumptively HIV infected, and definitively uninfected with HIV), and no changes have been made to the conditions listed under the AIDS criteria in the 1987 pediatric surveillance case definition for AIDS for children aged <18 months (1,3,13). Because diagnostic laboratory testing for HIV infection among children aged <18 months might be unreliable, children in this age group with perinatal HIV exposure whose illness meets the AIDS case definition on the basis of clinical criteria are considered presumptively HIV infected when the mother has laboratory-confirmed HIV infection. The definitive or presumptive exclusion of HIV infection for surveillance purposes does not mean that clinical HIV infection can be ruled out. For the purposes of calculating the exact timing of tests (e.g., when a specimen was obtained for laboratory testing) based on the surveillance case definition, 1 month corresponds to 30 days.

Criteria for Definitive or Presumptive HIV Infection

A child aged <18 months is categorized for surveillance purposes as definitively or presumptively HIV infected if born to an HIV-infected mother and if the laboratory
criterion or at least one of the other criteria is met.

**Laboratory Criterion for Definitive HIV Infection**

A child aged <18 months is categorized for surveillance purposes as definitively HIV infected if born to an HIV-infected mother and the following laboratory criterion is met.

- Positive results on two separate specimens (not including cord blood) from one or more of the following HIV virologic (non-antibody) tests:
  -- HIV nucleic acid (DNA or RNA) detection**
  -- HIV p24 antigen test, including neutralization assay, for a child aged ≥1 month
  -- HIV isolation (viral culture)

**Laboratory Criterion for Presumptive HIV Infection**

A child aged <18 months is categorized for surveillance purposes as presumptively HIV infected if 1) born to an HIV-infected mother, 2) the criterion for definitively HIV infected is not met, and 3) the following laboratory criterion is met.

- Positive results on one specimen (not including cord blood) from the listed HIV virologic tests (HIV nucleic acid detection test; HIV p24 antigen test, including neutralization assay, for a child aged ≥1 month; or HIV isolation [viral culture] for definitively HIV infected) and no subsequent negative results from HIV virologic or HIV antibody tests.

**Other Criteria (for Cases that Do Not Meet Laboratory Criteria for Definitive or Presumptive HIV Infection)**

- HIV infection diagnosed by a physician or qualified medical-care provider based on the laboratory criteria and documented in a medical record. Oral reports of prior laboratory test results are not acceptable.

  or

- When test results regarding HIV infection status are not available, documentation of a condition that meets the criteria in the 1987 pediatric surveillance case definition for AIDS (1) (Appendix A).

**Criteria for Uninfected with HIV, Definitive or Presumptive**
A child aged <18 months born to an HIV-infected mother is categorized for surveillance purposes as either definitively or presumptively uninfected with HIV if 1) the criteria for definitive or presumptive HIV infection are not met and 2) at least one of the laboratory criteria or other criteria are met.

**Laboratory Criteria for Uninfected with HIV, Definitive**

A child aged <18 months born to an HIV-infected mother is categorized for surveillance purposes as definitively uninfected with HIV if 1) the criteria for definitive or presumptive HIV infection are not met and 2) at least one of the laboratory criteria or other criteria are met.††

- At least two negative HIV DNA or RNA virologic tests from separate specimens, both of which were obtained at age ≥1 month and one of which was obtained at age ≥4 months.

  \[ or \]

- At least two negative HIV antibody tests from separate specimens obtained at age ≥6 months.

  \[ and \]

- No other laboratory or clinical evidence of HIV infection (i.e., no positive results from virologic tests [if tests were performed] and no current or previous AIDS-defining condition) (Appendix A).

**Laboratory Criteria for Uninfected with HIV, Presumptive**

A child aged <18 months born to an HIV-infected mother is categorized for surveillance purposes as presumptively uninfected with HIV if 1) the criteria for definitively uninfected with HIV are not met and 2) at least one of the laboratory criteria are met.

- Two negative RNA or DNA virologic tests, from separate specimens, both of which were obtained at age ≥2 weeks and one of which was obtained at age ≥4 weeks. §§

  \[ or \]
• One negative RNA or a DNA virologic test from a specimen obtained at age ≥8 weeks.

  or

• One negative HIV antibody test from a specimen obtained at age ≥6 months.

  or

• One positive HIV virologic test followed by at least two negative tests from separate specimens, one of which is a virologic test from a specimen obtained at age ≥8 weeks or an HIV antibody test from a specimen obtained at age ≥6 months.

  and

• No other laboratory or clinical evidence of HIV infection (i.e., no subsequent positive results from virologic tests if tests were performed, and no AIDS-defining condition for which no other underlying condition indicative of immunosuppression exists) (Appendix A).

Other Criteria (for Cases that Do Not Meet Laboratory Criteria for Uninfected with HIV, Definitive or Presumptive)

• Determination of uninfected with HIV by a physician or qualified medical-care provider based on the laboratory criteria and who has noted the HIV diagnostic test results in the medical record. Oral reports of prior laboratory test results are not acceptable.

  and

• No other laboratory or clinical evidence of HIV infection (i.e., no positive results from virologic tests [if tests were performed] and no AIDS-defining condition for which no other underlying condition indicative of immunosuppression exists) (Appendix A).

Criteria for Indeterminate HIV Infection

A child aged <18 months born to an HIV-infected mother is categorized as having perinatal exposure with an indeterminate HIV infection status if the criteria for infected with HIV and uninfected with HIV are not met.
Discussion

The exclusion of HIV infection (definitive or presumptive) for surveillance purposes does not mean that clinical HIV infection can be ruled out. These categories are used for surveillance classification purposes and should not be used to guide clinical practice. A child with perinatal HIV exposure should continue to be monitored clinically according to nationally accepted treatment and care guidelines (17--19) to 1) monitor for potential complications of exposure to antiretroviral medications during the perinatal period and 2) confirm the absence of HIV infection with repeat clinical and laboratory evaluations.

No changes have been made to the existing classification system for HIV infection among children aged <18 months (4). To classify HIV-infected children in this age group, use the 1994 revised classification system for HIV infection among children aged <13 years (4).

2008 Surveillance Case Definitions for HIV Infection and AIDS Among Children Aged 18 Months to <13 Years

These 2008 surveillance case definitions of HIV infection and AIDS supersede those published in 1987 (1) and 1999 (3) and apply to all variants of HIV (e.g., HIV-1 or HIV-2). They are intended for public health surveillance only and are not a guide for clinical diagnosis.

The 2008 laboratory criteria for reportable HIV infection among persons aged 18 months to <13 years exclude confirmation of HIV infection through the diagnosis of AIDS-defining conditions alone. Laboratory-confirmed evidence of HIV infection is now required for all reported cases of HIV infection among children aged 18 months to <13 years (20).

Criteria for HIV Infection

Children aged 18 months to <13 years are categorized as HIV infected for surveillance purposes if at least one of laboratory criteria or the other criterion is met.

Laboratory Criteria

- Positive result from a screening test for HIV antibody (e.g., reactive EIA), confirmed by a positive result from a supplemental test for HIV antibody (e.g.,
Western blot or indirect immunofluorescence assay).

or

- Positive result or a detectable quantity by any of the following HIV virologic (non-antibody) tests***:
  - HIV nucleic acid (DNA or RNA) detection (e.g., PCR)
  - HIV p24 antigen test, including neutralization assay
  - HIV isolation (viral culture)

Other Criterion (for Cases that Do Not Meet Laboratory Criteria)

- HIV infection diagnosed by a physician or qualified medical-care provider based on the laboratory criteria and documented in a medical record. Oral reports of prior laboratory test results are not acceptable.

Criteria for AIDS

Children aged 18 months to <13 years are categorized for surveillance purposes as having AIDS if the criteria for HIV infection are met and at least one of the AIDS-defining conditions has been documented (Appendix A).

The 2008 surveillance case definition for AIDS retains the 24 clinical conditions in the AIDS surveillance case definition published in 1987 (1) and revised in 1994 (4) for children aged <13 years (Appendix A). Because the 2008 definition requires that all AIDS diagnoses have laboratory-confirmed evidence of HIV infection, the presence of any AIDS-defining condition listed in Appendix A indicates a surveillance diagnosis of AIDS. Guidance on the diagnosis of these diseases in the context of all nationally notifiable diseases is available at http://www.cdc.gov/epo/dphsi/casedef/case_definitions.htm.

Discussion

To meet the surveillance case definition for HIV infection, laboratory confirmation of HIV infection is now required for children aged 18 months to <13 years. To meet the surveillance case definition for AIDS, in addition to the presence of one or more AIDS-defining conditions, laboratory-confirmed evidence of HIV infection is now required for children aged 18 months to <13 years. These revisions will increase the specificity of the HIV infection and AIDS surveillance case definitions by excluding patients without laboratory-confirmed evidence of HIV infection, reinforcing the
public health message that HIV infection is the cause of AIDS. Improved specificity will provide more accurate data regarding number of HIV infection cases, which can be used to refine public health policies and determine appropriate use of HIV resources.

No changes have been made to the existing classification system for HIV infection among children aged 18 months to <13 years (4). To classify HIV-infected children in this age group, refer to the 1994 revised classification system for HIV infection among children aged <13 years (4).

Acknowledgments

This report is based, in part, on contributions by Bernard Branson, MD, Tonji Durant, PhD, Mary Glenn Fowler, MD, Lisa M. Lee, PhD, Kathleen McDavid Harrison, PhD, Nan Ruffo, Richard Selik, MD, Division of HIV/AIDS Prevention, Irum Zaidi, MPH, Keith Sabin, PhD, Theresa Diaz, MD, Division of Global AIDS, National Center for HIV/AIDS, Viral Hepatitis, STD, and TB Prevention, Christine L. Mattson, PhD, EIS Officer, CDC; Stephanie Broyles, PhD, Pennington Biomedical Research Center, Baton Rouge, Louisiana; Victoria Cargill, MD, National Institutes of Health, Rockville, Maryland; Laura Cheever, MD, Health Resources and Services Administration, Rockville, Maryland; Peter Havens, MD, Medical College of Wisconsin and Children's Hospital of Wisconsin, Milwaukee, Wisconsin; Ellen Moore, MD, Wayne State University School of Medicine, Detroit, Michigan; Pauline Thomas, MD, University of Medicine and Dentistry of New Jersey, Newark, New Jersey; and Jesus Maria Garcia Calleja, MD, World Health Organization, Geneva, Switzerland.

References

1. CDC. Revision of the CDC surveillance case definition for acquired immunodeficiency syndrome. MMWR 1987;36(Suppl 1):1--15.
2. CDC. 1993 Revised classification system for HIV infection and expanded surveillance case definition for AIDS among adolescents and adults. MMWR 1992;41(No. RR-17).
3. CDC. Guidelines for national human immunodeficiency virus case surveillance, including monitoring for human immunodeficiency virus infection and acquired immunodeficiency syndrome. MMWR 1999;48(No. RR-13).
4. CDC. 1994 Revised classification system for human immunodeficiency virus infection in children less than 13 years of age. MMWR 1994;43(No. RR-12).
5. Council of State and Territorial Epidemiologists. Revision of surveillance case


* Rapid tests are EIAs that do not have to be repeated but require a confirmatory test if reactive. Most conventional EIAs require a repeatedly reactive EIA that is confirmed by a positive result with a supplemental test for HIV antibody. Standard laboratory testing procedures should always be followed.

† For HIV screening, HIV virologic (non-antibody) tests should not be used in lieu of approved HIV antibody screening tests. A negative result (i.e., undetectable or nonreactive) from an HIV virologic test (e.g., viral RNA nucleic acid test) does not rule out the diagnosis of HIV infection.

§ Qualified medical-care providers might differ by jurisdiction and might include physicians, nurse practitioners, physician assistants, or nurse midwives.

¶ An original or copy of the laboratory report is preferred; however, in the rare instance the laboratory report is not available, a description of the laboratory report results by a physician or qualified medical-care provider documented in the medical record is acceptable for surveillance purposes. Every effort should be made to obtain a copy of the laboratory report for documentation in the medical record.

** HIV nucleic acid (DNA or RNA) detection tests are the virologic methods of choice for the diagnosis or exclusion of infection in children aged <18 months. Although HIV culture can be used, culture is less standardized and less sensitive than nucleic acid detection tests. The use of p24 antigen testing to exclude infection in children aged <18 months is not recommended because of poor sensitivity, especially in the presence of HIV antibody. Commercial tests for RNA and DNA detection have become widely available. Quantitative RNA tests have been approved by the Food and Drug Administration (FDA) for monitoring HIV infection, and qualitative RNA tests have been approved to aid diagnosis. The quantitative and qualitative RNA tests meet FDA standards for high analytic and clinical sensitivity and specificity (14--16). All available tests detect the subtypes of group M and strains of group O. HIV-2 can be diagnosed with HIV-2 DNA PCR. HIV RNA tests sometimes do not detect HIV-2 because the viral loads in some HIV-2--infected persons are below detectable levels. Because of the possibility of mutation or recombination involving the sequences detected by a particular test, occasionally, virus might not be detected in a specimen from an HIV-2
infected individual. If HIV-2 infection seems likely but results are negative, testing with a different assay might be advisable.

†† Suspected cases of HIV infection among children aged <18 months who are born to a documented HIV-uninfected mother should be assessed on a case-by-case basis by the appropriate health care and public health specialists.

§§ If specimens for both negative RNA or DNA virologic tests are obtained at age ≥4 weeks, specimens should be obtained on separate days.

¶¶ Children aged 18 months to <13 years with perinatal exposure to HIV are categorized as uninfected with HIV if the criteria for uninfected with HIV among children aged <18 months are met.

*** For HIV screening among children aged 18 months to <13 years infected through exposure other than perinatal exposure, HIV virologic (non-antibody) tests should not be used in lieu of approved HIV antibody screening tests. A negative result (i.e., undetectable or nonreactive) by an HIV virologic test (e.g., viral RNA nucleic acid test) does not rule out the diagnosis of HIV infection.

**CDC Adult/Adolescent HIV/AIDS Surveillance Case Definition Consultation, August 2005**

**External Consultants:** Kathryn Anastos, MD, Montefiore Medical Center, Bronx, New York; Chris Archibald, MDCM, Public Health Agency of Canada, Ottawa, Ontario, Canada; John Barnhart, National Alliance of State and Territorial AIDS Directors, Washington, DC; Samuel A. Bozette, MD, PhD, RAND Corporation, Santa Monica, California; Txema Calleja, MD, World Health Organization, Geneva, Switzerland; Charles C.J. Carpenter, MD, University Medicine Foundation, Inc., Providence, Rhode Island; Siobhan Crowley, MB, MRCP, World Health Organization, Geneva, Switzerland; Richard Davey, MD, National Institutes of Health, Bethesda, Maryland; Eric A. Engels, MD, National Institutes of Health, Rockville, Maryland; Douglas Frye, MD, Los Angeles County HIV Epidemiology Program, Los Angeles, California; Donna Futterman, MD, Children's Hospital at Montefiore, Bronx, New York; Becky Grigg, Florida Department of Health, Tallahassee, Florida; Françoise Hamers, EuroHIV, Saint Maurice Cedex, France; W. Claire Hicks, MD, Georgia Department of Public Health, Jesup, Georgia; Scott Holmberg, MD, Research Triangle Institute (RTI) International, Atlanta, Georgia; Jack Jourden, MPH, Washington State Department of Health, Olympia, Washington; Alice Krockickzak, PhD, Health Resources and Services Administration, Rockville, Maryland; Alan Lifson, MD, University of Minnesota, Minneapolis, Minnesota; Norman Markowitz, MD, The Community Program for Clinical Research on AIDS, Henry Ford Health System, Detroit, Michigan; Anthony Merriweather, Alabama Department of Public Health, Montgomery, Alabama; Frank J. Palella, MD, Northwestern University Medical School, Chicago, Illinois; Jennifer Pennock, MSc, Public Health Agency of
Canada, Ottawa, Ontario, Canada; Timothy R. Sterling, MD, Vanderbilt University Medical Center, Nashville, Tenessee; Karen T. Tashima, MD, Brown Medical School, Providence, Rhode Island; Pablo Tebas, MD, University of Pennsylvania, Philadelphia, Pennsylvania; Lucia V. Torian, PhD, New York City Department of Health, New York, New York.

**CDC Staff Members:** Theresa Diaz, MD; M. Kathleen Glynn, DVM; Lisa M. Lee, PhD; Matthew T. McKenna, MD; Andrew Mitsch, MPH; Eileen Schneider, MD; Patrick Sullivan, DVM, PhD.

**CDC Adult/Adolescent HIV Surveillance Case Definition Consultation, June 2006**

**External Consultants:** A. Cornelius Baker, National Black Gay Men's Advocacy Coalition, Washington, DC; John Barnhart, MPH, National Alliance of State and Territorial AIDS Directors, Washington, DC; Spencer Bennett, MPH, Florida Bureau of Laboratories, Jacksonville, Florida; Laura Cheever, MD, ScM, Health Resources and Services Administration, Rockville, Maryland; Michael D'Arata, FNP, Family Care Network, Oakland, California; Isabelle Devaux, PhD, EuroHIV, Saint Maurice Cedex, France; Damon Dozier, National Minority AIDS Council, Washington, DC; Judith Feinberg, MD, University of Cincinnati College of Medicine, Cincinnati, Ohio; Eberhard Fiebig, MD, University of California, San Francisco General Hospital, San Francisco, California; Lance Gable, JD, Georgetown University Law Center, Washington, DC; James Gibson, MD, South Carolina Department of Health and Environmental Control, Columbia, South Carolina; Charles Gilks, D Phil, World Health Organization, Geneva, Switzerland; David Harvey, AIDS Alliance for Women, Children Youth and Families, Washington, DC; Jennifer Kates, MPA, MA, Kaiser Family Foundation, Washington, DC; Lynda Kettinger, MPH, South Carolina Department of Health and Environmental Control, Columbia, South Carolina; Peter Leone, MD, University of North Carolina, Chapel Hill, North Carolina; Eve Mokotoff, MPH, Michigan Department of Community Health, Detroit, Michigan; Israel Nieves-Rivera, San Francisco Department of Public Health, San Francisco, California; Jennifer Pennock, MSc, Public Health Agency of Canada, Ottawa, Ontario, Canada; Monica S. Ruiz, PhD, The Foundation for AIDS Research, Washington, DC; R. Luke Shouse, MD, Georgia Division of Public Health, Atlanta, Georgia; Gregory I. Smiley, MPH, American Academy of HIV Medicine, Washington DC; Andrew Spieldenner, MA, National Association of People With AIDS, Silver Spring, Maryland; Edward Tepporn, Asian & Pacific Islander American Health Forum, San Francisco, California; Steven Tierney, EdD, San Francisco AIDS Foundation, San Francisco, California.

**CDC Staff Members:** Bernard Branson, MD; Theresa Diaz, MD; M. Kathleen Glynn, DVM; Duncan MacKellar, MPH; Stephen McDougal, MD; Matthew T. McKenna, MD; Andrew Mitsch, MPH; Allyn Nakashima, MD; Michelle Owen, PhD; Travis Sanchez, DVM; Eileen Schneider, MD.

**CDC Pediatric HIV Surveillance Case Definition Consultation, April 2005**

**External Consultants:** John Barnhart, MPH, National Alliance of State and Territorial AIDS
Directors, Washington, DC; Mark Cotton MB ChB, Stellenbosch University, Tygerberg, South Africa; Siobhan Crowley, MB, MRCP, World Health Organization, Geneva, Switzerland; Brian Feit, MPA, Health Resources Services Administration, Rockville, Maryland; Susan Fiscus, PhD, University of North Carolina at Chapel Hill School of Medicine, Chapel Hill, North Carolina; Pat Flynn, MD, St. Jude Children's Research Hospital, Memphis, Tennessee; Toni Frederick, PhD, University of Southern California, Los Angeles, California; Edward Handelsman, MD, SUNY Downstate/Kings County Hospital Center, Brooklyn, New York; Celine Hanson, MD, Texas Children's Hospital, Houston, Texas; Peter Havens, MD, Medical College of Wisconsin; Children's Hospital of Wisconsin, Milwaukee, Wisconsin; Israel Kalyesubula, MB ChB, Makerere University, Kampala, Uganda; Sharon Melville, MD, Texas Department of State Health Services, Austin, Texas; Lynne Mofenson, MD, National Institutes of Health, Rockville, Maryland; Steven Nesheim, MD, Emory University School of Medicine, Atlanta, Georgia; Marie-Louise Newell, PhD, Institute of Child Health, London, United Kingdom; James Oleske, MD, MPH, New Jersey Medical School, Newark, New Jersey; Mary Paul, MD, Texas Children's Hospital, Houston, Texas; Vicki Peters, MD, New York City Department of Health and Mental Hygiene, New York, New York; Kenneth Rich, MD, University of Illinois at Chicago, Chicago; Illinois. Damaris Richardson, Department of Health and Mental Hygiene, Baltimore, Maryland; Zoe Rodriguez, MD, University of Puerto Rico, San Juan, Puerto Rico; Christine Rouzioux, PhD, Hôpital Necker--Laboratoire de Virologie, Paris, France; Andrea Ruff, MD, Johns Hopkins University Bloomberg School of Public Health, Baltimore, Maryland; Gwendolyn. Scott, MD, University of Miami School of Medicine, Miami, Florida; Mary Elizabeth Smith, MD, National Institutes of Health, Bethesda, Maryland; Russell Van Dyke, MD, Tulane University Health Sciences Center, New Orleans, Louisian.a; Barbara Warren, New York State Department of Health, Albany, New York; Patricia Whitley-Williams, MD, New Brunswick, New Jersey.

CDC Staff Members: Bernard Branson, MD; Michael Campsmith, DDS; Kenneth Dominguez, MD; Mary Jo EARP, MPH; Lorena Espinoza, DDS; Mary Glenn Fowler, MD; M. Kathleen Glynn, DVM; Norma Harris, PhD; Matthew T. McKenna, MD; Andrew Mitsch, MPH; Alpa Patel-Larson, MPH; Ruby Phelps; Nan Ruffo; Stephanie Sansom, PhD; Suzanne Whitmore, DrPH.

Members of the CDC Pediatric Surveillance Case Definition for HIV Infection and AIDS Working Group

Michael Campsmith, DDS; Kenneth Dominguez, MD; Steve McDougal, MD; Andrew Mitsch, MPH; Alpa Patel-Larson, MPH; Nan Ruffo; Alexis Reedy Benavides, MPH; Allan Taylor, MD; Suzanne Whitmore, DrPH.

Table
TABLE. Surveillance case definition for human immunodeficiency virus (HIV) infection among adults and adolescents (aged ≥13 years) — United States, 2008

<table>
<thead>
<tr>
<th>Stage</th>
<th>Laboratory evidence</th>
<th>Clinical evidence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stage 1</td>
<td>Laboratory confirmation of HIV infection and CD4+ T-lymphocyte count of ≥500 cells/μL or CD4+ T-lymphocyte percentage of ≥29</td>
<td>None required (but no AIDS-defining condition)</td>
</tr>
<tr>
<td>Stage 2</td>
<td>Laboratory confirmation of HIV infection and CD4+ T-lymphocyte count of 260–499 cells/μL or CD4+ T-lymphocyte percentage of 14–28</td>
<td>None required (but no AIDS-defining condition)</td>
</tr>
<tr>
<td>Stage 3 (AIDS)</td>
<td>Laboratory confirmation of HIV infection and CD4+ T-lymphocyte count of &lt;200 cells/μL or CD4+ T-lymphocyte percentage of &lt;141</td>
<td>or documentation of an AIDS-defining condition (with laboratory confirmation of HIV infection)†</td>
</tr>
<tr>
<td>Stage unknown†</td>
<td>Laboratory confirmation of HIV infection and no information on CD4+ T-lymphocyte count or percentage</td>
<td>and no information on presence of AIDS-defining conditions</td>
</tr>
</tbody>
</table>

*: The CD4+ T-lymphocyte percentage is the percentage of total lymphocytes. If the CD4+ T-lymphocyte count and percentage do not correspond to the same HIV infection stage, select the more severe stage.
†: Documentation of an AIDS-defining condition (Appendix A) supersedes a CD4+ T-lymphocyte count of ≥200 cells/μL and a CD4+ T-lymphocyte percentage of ≥14%. Definitive diagnostic methods for these conditions are available in Appendix C of the 1993 revised HIV classification system and the expanded AIDS case definition (CDC, 1993 Revised classification system for HIV infection and expanded surveillance case definition for AIDS among adolescents and adults, MMWR 1992;41[No. RR-17]) and from the National Notifiable Diseases Surveillance System (available at http://www.cdc.gov/epo/phi/casedef_case_definitions.html).
§: Although cases with no information on CD4+ T-lymphocyte count or percentage or on the presence of AIDS-defining conditions can be classified as stage unknown, every effort should be made to report CD4+ T-lymphocyte counts or percentages and the presence of AIDS-defining conditions at the time of diagnosis. Additional CD4+ T-lymphocyte counts or percentages and any identified AIDS-defining conditions can be reported as recommended. (Council of State and Territorial Epidemiologists. Laboratory reporting of clinical test results indicative of HIV infection: new standards for a new era of surveillance and prevention [Position Statement 04-ID-07]: 2004. Available at http://www.cste.org.ps/2004pdf/04ID07-final.pdf.)