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NFA News



2020 NFA Member
Workshops

Executive
Representative
Reminder

NFA Board Update
Video—August 2019
Meeting

Our Mission

NFA is the industrywide, self-regulatory organization for the U.S. derivatives industry, providing innovative and effective regulatory programs.

Designated by the CFTC as a registered futures association, NFA strives every day to safeguard the integrity of the derivatives markets, protect investors and ensure Members meet their regulatory responsibilities.

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Conduct Due Diligence - BASIC

BASIC is a free tool that Members and investors can use to research the background of derivatives industry professionals. Whether you are an investor thinking about opening a futures account or an NFA Member contemplating a new business relationship, BASIC can be a valuable resource.

[ACCESS BASIC](#)



Enforcement & Registration Actions

NFA has the authority to take disciplinary actions against any Member or Associate that violates its rules. If an NFA Member or Associate engages in conduct that puts customers, the futures markets, or other Members at risk, immediate action will be taken accordingly.

[SEE ACTIONS](#)



For Members

- > [Commodity Pool Operators](#)
- > [Commodity Trading Advisors](#)
- > [Futures Commission Merchants](#)
- > [Introducing Brokers](#)
- > [Forex Dealer Members](#)
- > [Swap Dealers](#)

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Registration & Membership

- › Who Has to Register
- › How to Register
- › NFA Directories



For Investors

- › Arbitration Services
- › File a Complaint
- › Investor Newsletters
- › Publications and Resources

Employment Opportunities

Find your role at NFA today:

- › Senior Information Security Compliance Analyst
- › Information Security Engineer
- › Information Security Analyst I
- › Quantitative Analyst

[SEE ALL OPPORTUNITIES](#)

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